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Isaac M. Rutenberg | 650 251 7724 | imrutenberg@mintz.com

1400 Page Mill Road
Palo Alto, California 94304
650-251-7700
650-251-7739 fax
www.mintz.com

fax transmittal

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FROM:

Name Isaac M. Rutenberg

Date April 15, 2008

of Pages 33

To:

Name	Company	Business#	Fax #
Examiner Porfirio Nazario Gonzalez	USPTO		571-273-0641

Comments:

U.S. Patent No. 6,111,121

Issued: August 20, 2000

For "High Metathesis Activity Ruthenium and Osmium Metal Carbene Complexes"

By Robert H. Grubbs et al.

Atty Dkt No. 1950-0032.10

Dear Examiner Gonzalez:

Further to your conversation with Yesenia Garcia of earlier today, please see the attached resubmission of the Petition to Correct Inventorship that was filed February 15, 2006 for the above-referenced patent.

Respectfully Submitted,



Isaac M. Rutenberg, Reg. No. 57,419
Patent Agent

Please call us at 650-251-7700 if you experience any problems.

STATEMENT OF CONFIDENTIALITY

The information contained in this fax is intended for the exclusive use of the addressee and may contain confidential or privileged information. If you are not the intended recipient, you are hereby notified that any form or dissemination of this communication is strictly prohibited. If this fax was sent in error, please immediately notify us by phone.

Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.

BOSTON | WASHINGTON | NEW YORK | STAMFORD | LOS ANGELES | PALO ALTO | SAN DIEGO | LONDON

PAGE 1/33 * RCVD AT 4/15/2008 5:27:01 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/46 * DNIS:2730641 * CSID: * DURATION (mm:ss):08:30

Received in
04/21/08

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7/24/07
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FROM:

Name Isaac M. Rutenberg
Date July 24, 2007
of Pages 31

9/24/07
DOCKETED
n

To:

Name	Company	Business#	Fax #
Petitions Office	USPTO		(571) 273-8300

Comments:

U.S. Patent No. 6,111,121
Issued: August 20, 2000
For "HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES"
by Robert H. GRUBBS et al.
Atty Dkt No. 1950-0032.10

Please see the attached copy of the Petition to Correct Inventorship in Patent that was filed February 15, 2006 for the above-reference patent. The Petition is being resubmitted at the suggestion of Primary Examiner Porfirio Nazario-Gonzalez. If the Petitions Office has any questions regarding the Petition, a telephone call to the undersigned would be appreciated.

Respectfully Submitted,



Isaac M Rutenberg, Reg. No. 57,419
Patent Agent

Please call us at 650-251-7700 if you experience any problems.

STATEMENT OF CONFIDENTIALITY

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Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.

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DATE, TIME	07/24 16:18
FAX NO./NAME	#45415712738300
DURATION	00:07:26
PAGE(S)	31
RESULT	OK
MODE	STANDARD ECM

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FROM:

Name Isaac M. Rutenberg
Date July 24, 2007
of Pages 31

To:

Name	Company	Business#	Fax #
Petitions Office	USPTO		(571) 273-8300

Comments:

U.S. Patent No. 6,111,121
Issued: August 20, 2000
For "HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES"
by Robert H. GRUBBS et al.
Atty Dkt No. 1950-0032.10

Atty Dkt No.: 1950-0032.10 Mailing Date: February 15, 2006

Inventor(s): Robert H. GRUBBS et al.

Patent No.: 6,111,121 Issued Date: August 29, 2000

Document(s):

Check for \$130.00

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Transmittal & Fee Transmittal

Petition to Correct Inventorship under 37 CFR 1.324(a)

Statement of Non-Deceptive Intent executed by Marcia B. France

Statement of Non-Disagreement by Current Named Inventors to Add M.B France
(signed in counterparts by R.H. Grubbs, P. Schwab & S.T. Nguyen)

Statement of Agreement by Assignee to Change Inventorship & Certification 3.73(b)

Assignment & Notice of Recordation of M.B. France to California Institute of Technology

Assignment & Notice of Recordation of Currently Named Inventors to Calif. Inst. of Tech.

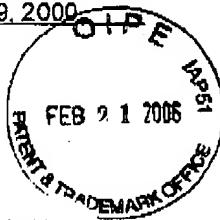
Declaration signed by Marcia B. France

Revocation of Power of Attorney & New Power of Attorney & Change of Corresp. Address

Statement under 37 CFR 3.73(b)

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Atty Dkt No.: 1950-0032.10 Mailing Date: February 15, 2006
Inventor(s): Robert H. GRUBBS et al.
Patent No.: 6,111,121 Issued Date: August 29, 2000

Document(s):

Check for \$130.00

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Transmittal & Fee Transmittal

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(signed in counterparts by R.H. Grubbs, P. Schwab & S.T. Nguyen)

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Assignment & Notice of Recordation of M.B. France to California Institute of Technology

Assignment & Notice of Recordation of Currently Named Inventors to Calif. Inst. of Tech.

Declaration signed by Marcia B. France

Revocation of Power of Attorney & New Power of Attorney & Change of Corresp. Address

Statement under 37 CFR 3.73(b)

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90-4153-1211

2/14/2006

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ORDER OF Commissioner for Patents & Trademarks

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One Hundred Thirty and 00/100***** DOLLARS

Commissioner for Patents & Trademarks

MEMO

1950-0032.10

MRegan

APR 15 2008

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Patent Number	6,111,121
		Issue Date	August 29, 2000
		First Named Inventor	Robert H. GRUBBS
		Art Unit	1621
		Examiner Name	Nazario Gonzalez, Porfirio
Mail Stop	Petition	Attorney Docket Number	1950-0032.10

ENCLOSURES (Check all that apply)

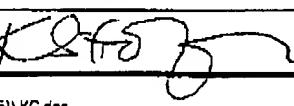
<input type="checkbox"/> No fee due <input checked="" type="checkbox"/> Fee(s) due: \$130.00 <input checked="" type="checkbox"/> Check enclosed <input type="checkbox"/> Charge Deposit Account No. 18-0580 <input type="checkbox"/> 37 CFR § 1.16 <input type="checkbox"/> 37 CFR § 1.17 <input checked="" type="checkbox"/> The Commission is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Month Extension of Time	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Information Disclosure Statement & PTO-1449 Form(s) <input type="checkbox"/> Cited reference copy(ies) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Declaration(s) by Inventor(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Updated Application Data Sheet <input type="checkbox"/> Drawing(s) - Sheets <input type="checkbox"/> Compact Disk(s) - CD(s) <input checked="" type="checkbox"/> Petition to Correct Inventorship <input checked="" type="checkbox"/> Statement of Non-Deceptive Intent <input checked="" type="checkbox"/> Statement of Non-Disagreement <input checked="" type="checkbox"/> Statement of Agreement <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Assignments & Notices of Recordation	<input checked="" type="checkbox"/> Revocation of Power of Attorney with New Power of Attorney & Change of Correspondence Address <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Other Enclosure(s) (see remarks):
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REMARKS**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name (print/type)	Flavio M. Rose, Reg. No. 40,791 Reed Intellectual Property Law Group	Telephone	(650) 251-7700
Signature		Date	February 15, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name (print/type)	Katherine Stofer		
Signature		Date	February 15, 2006

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APR 15 2008

FEE TRANSMITTAL for FY 2005

Effective 10/01/03. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$130.00

Complete If Known	
Patent Number	6,111,121
Issued Date	August 29, 2000
First Named Inventor	Robert H. GRUBBS et al.
Examiner Name	Nazario Gonzalez Porfirio
Group Art Unit	1621
Attorney Docket No.	1950-0032.10

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account No.	18-0580
Deposit Account Name	Reed IP Law Group

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Charge any underpayment or credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING, SEARCH AND EXAMINATION FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 790	2001 395	Utility filing fee (filed on or before 12/8/04)	
1011 300	2011 150	Utility filing fee (filed after 12/8/04)	
1111 500	2111 250	Search Fee	
1311 200	2311 100	Examination Fee	
1081 250	2081 125	For each additional 50 sheets exceeding 100	
SUBTOTAL (1)		\$	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20** =	x	=
Multiple Dependent	- 3** =	x	=

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 50	2202 25	Claim in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$

**or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES		Fee Description		Fee Paid		
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)			
1051	2051	130	65	Surcharge - late filing fee or oath		
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet		
1053	1053	130	130	Non-English specification		
1812	1812	2,520	2,520	For filing a request for ex parte reexamination		
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action		
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action		
1251	2251	120	60	Extension for reply within first month		
1252	2252	450	225	Extension for reply within second month		
1253	2253	1,020	510	Extension for reply within third month		
1254	2254	1,590	795	Extension for reply within fourth month		
1255	2255	2,160	1,080	Extension for reply within fifth month		
1401	2401	500	250	Notice of Appeal		
1402	2402	500	250	Filing a brief in support of an appeal		
1403	2403	1,000	500	Request for oral hearing		
1451	1451	1,510	1,510	Petition to institute a public use proceeding		
1452	2452	500	250	Petition to revive - unavoidable		
1453	2453	1,500	750	Petition to revive - unintentional		
1501	2501	1,400	700	Utility issue fee (or reissue)		
1502	2502	600	400	Design issue fee		
1503	2503	1,100	550	Plant issue fee		
1807	1807	50	50	Processing fee under 37 CFR 1.17(q)		
1806	1806	180	180	Submission of information Disclosure Stmt		
8021	8021	40	40	Recording each patent assignment per property (times number of properties)		
1809	2809	790	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	2810	790	395	For each additional invention to be examined (37 CFR § 1.129(b))		
1801	2801	790	395	Request for Continued Examination (RCE)		
1802	1802	900	900	Request for expedited examination of a design application		
1814	2814	130	65.00	Statutory Disclaimer		
Other fee (specify)		Petition processing fee under 37 CFR 1.20(b)		130.00		
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)		
				\$130.00		

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Flavio M. Rose	Registration No. (Attorney/Agent)	40,791	Telephone (650) 251-7700
Signature	Flavio M. Rose		Date	February 15, 2006

Atty Dkt No. 1950-0032.10
Caltech No. CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**
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In Re U.S. Patent of:

Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issued Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

APR 15 2008

PETITION TO CORRECT INVENTORSHIP IN PATENT
UNDER 37 CFR § 1.324(a)

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a petition to correct the inventorship of the subject patent so as to add Marcia B. France as a named joint inventor of the subject patent. The currently named inventors are Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen.

Pursuant to 37 CFR § 1.324(b), enclosed are the following documents in support of this petition:

1. Statement of Non-Deceptive Intent By Person Being Added By Petition to Change Inventorship in Patent, executed by Marcia B. France;
2. Statement of Non-Disagreement By Currently Named Inventors to Add Marcia B. France as a Joint Inventor in Patent, executed by Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen;
3. Statement of Agreement by Assignee to Change Inventorship in Patent and Certification Under 37 CFR § 3.73(b);
4. Assignment from Currently Named Inventors to California Institute of Technology;
5. Assignment from Inventor Marcia B. France to California Institute of Technology;
6. Declaration signed by Marcia B. France;

Atty Dkt No. 1950-0032.10
Patent No. 6,111,121

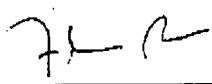
7. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, executed by Assignee;

8. A check for \$130 to cover the fee set forth in 37 CFR § 1.20(b) required for filing this petition.

Thus, by way of this petition, favorable consideration to add Marcia B. France as a joint inventor in the subject patent is respectfully solicited.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-0580.

Respectfully submitted,

By: 

Flavio M. Rose
Registration No. 40,791

REED IP LAW GROUP
1400 Page Mill Road
Palo Alto, California 94304-1124
(650) 251-7700 Telephone
(650) 251-7739 Facsimile

E:\Documents\1950\0032\10\Correct Inventorship Petition.DOC

Atty Dkt No. 1950-0032.10
Caltech No. CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 15 2008

In Re U.S. Patent of:

Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

STATEMENT OF NON-DECEPTIVE INTENT BY THE PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT UNDER 37 C.F.R. § 1.324(a)

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Marcia B. France, hereby declare as follows:

1. That I am a co-inventor of U.S. Patent No. 6,111,121, filed on August 29, 2000, along with Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen.
2. That it has now become apparent that the inventorship of the above referenced patent application was originally incorrectly identified as being Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen, and that such identification was done without any deceptive intention on my part.

The undersigned further declares that all statements made herein of her own knowledge are true and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

By: Marcia B. France

Name: Marcia B. France

Date: May 27, 2005

Atty Dkt No. 1950-0032.10
Caltech No. CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:
Robert H. GRUBBS et al.

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Patent No.: 6,111,121

APR 15 2008

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

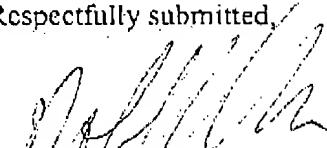
Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

Respectfully submitted,

Date: 4/15/2008

By:


Robert H. Grubbs
First Joint Inventor

Date: _____

By:

Peter Schwab
Second Joint Inventor

Date: _____

By:

Sonbinh T. Nguyen
Third Joint Inventor

Atty Dkt No. 1950-0032.10
Caltech No. CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

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STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

Respectfully submitted,

Date: _____

By: _____

Robert H. Grubbs
First Joint Inventor



Date: 11/3/2005

By: _____

Peter Schwab
Second Joint Inventor

Date: _____

By: _____

Sonbinh T. Nguyen
Third Joint Inventor

Atty Dkt No. 1950-0032.10
Caltech No. CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:

Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

RECEIVED
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STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

Respectfully submitted,

Date: _____

By: _____

Robert H. Grubbs
First Joint Inventor

Date: _____

By: _____

Peter Schwab
Second Joint Inventor

Date: _____

By: _____

Sonbinh T. Nguyen
Third Joint Inventor

Atty Dkt No. 1950-0032.10
Caltech No.: CIT-2123-4D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:
Robert H. GRUBBS et al.

RECEIVED
CENTRAL FAX CENTER
APR 15 2008

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

STATEMENT OF AGREEMENT BY ASSIGNEE TO CHANGE INVENTORSHIP IN
PATENT AND CERTIFICATION UNDER 37 CFR § 3.73(B)

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

California Institute of Technology, the assignee of the subject patent, is in full agreement with the currently named inventors, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyễn, to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

California Institute of Technology, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 1200 East California Boulevard, Pasadena, California 91125, certifies that it is the assignee of the entire right, title and interest in the U.S. patent identified above by virtue of an Assignment from each of the inventors of the U.S. patent identified above. The Assignments were recorded in the Patent and Trademark Office on October 16, 1996 at Reel 8183, Frame 0314 (in connection with parent application Serial No. 08/693,789, filed July 31, 1996, now U.S. Patent No. 5,831,108, issued November 3, 1998), and on January 23, 2006 at Reel 017045, Frame 0461. Copies of the Assignments are attached.

The undersigned has reviewed the Assignments of the U.S. patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

Atty Dkt No. 1950-0032.10
Patent No. 6,111,121

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

CALIFORNIA INSTITUTE OF TECHNOLOGY

JAN 31 2006
Date: _____ By: _____


Adam Cochran
Intellectual Property Counsel

California Institute of Technology
1200 East California Boulevard
MC 201-85
Pasadena, California 91125

APR 15 2008

PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
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Application Number	6,111,121
Filing Date	August 29, 2000
First Named Inventor	Robert Grubbs
Art Unit	1621
Examiner Name	Nazario Gonzalez Porfirio
Attorney Docket Number	1950-0032.10

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

OR

I hereby appoint the practitioners associated with the Customer Number: 23980

Please change the correspondence address for the above-identified application to:

The address associated with Customer Number: 23980

OR

<input type="checkbox"/> Firm or Individual Name	Reed Intellectual Property Law Group				
Address	1400 Page Mill Road				
City	Palo Alto	State	CA	Zip	94304
Country	USA				
Telephone	650-251-7700	Email			
I am the:					
<input type="checkbox"/> Applicant/Inventor.					
<input checked="" type="checkbox"/> Assignee of record of the entire interest. See 37 CFR 3.71. <i>Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)</i>					
SIGNATURE of Applicant or Assignee of Record					
Signature					
Name	Adam Cochran, IP Counsel, California Institute of Technology				
Date	JAN 31 2008	Telephone	626-395-4567		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. see below.

Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

APR 15 2008

Atty Dkt No.: 1950-0032.10

STATEMENT UNDER 37 C.F.R. §3.73(b)

TO THE COMMISSIONER FOR PATENTS

In re application of: Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issued Date: August 29, 2000

Entitled: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

California Institute of Technology, a university, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either

A. An assignment from the inventors of the patent application identified above. The assignment was recorded in the United States Patent and Trademark Office.

1. From: Robert H. GRUBBS et al To: California Institute of Technology
The document was recorded in the Patent and Trademark Office at Reel 8183, Frame 0314, or for which a copy thereof is attached.

2. From: Marcia B. FRANCE To: California Institute of Technology
The document was recorded in the Patent and Trademark Office at Reel 017045, Frame 0461, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Copies of assignments or other documents in the chain of title are attached.

a.)

b.)

c.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee



Name: Adam Cochran

Title: Intellectual Property Counsel

JAN 31 2008

Date